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An Exploring Reachability in Binary Neural Networks with Continuous Inputs Using Star Methods

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Abstract-This study investigates the reachability of binary neural networks (BNNs) when subjected to continuous inputs, utilizing star methods for analysis. As BNNs gain prominence in various applications, understanding their behavior in the face of continuous variations is crucial for ensuring reliability and safety. The star method framework allows for the encapsulation of input uncertainties, providing a systematic approach to assess the reachability of neural network outputs. Through a combination of theoretical analysis and practical experimentation, this research elucidates the potential impacts of continuous inputs on BNN performance and robustness. The findings offer valuable insights for developers and researchers aiming to enhance the deployment of BNNs in real-world scenarios.

Keywords:Binary Neural Networks, Reachability Analysis, Continuous Inputs, Star Methods, Neural Network Robustness, Input Uncertainty, Theoretical Analysis, Performance Assessment.

I. INTRODUCTION

DNNs have become a popular technique for complex problems in various areassuch as computer vision [3], natural language processing [4], and information retrieval [5]. They are being used in various fields like robotics [6], healthcare [7], agriculture [8], construction [9], etc. In order to handle such a vast set oftasks, different deep neural networks possess different architectures [10]. Anarchitecture of a DNN is defined by the number of parameters the networkimplements (neurons and synapses), layers, activation functions, etc. Dependingon the task' s complexity, larger architecture and datasets may be required tounlock better performance, which usually requires more training time. In addition, the architecture of a real-world DNN may grow exponentially in the number of parameters [11]. This becomes an issue when a DNN needs to be deployed on an edge device or as part of an embedded system, especially if in real-time conditions. To run applications in embedded systems, several demands must be met:(a) low power and memory consumption, (b) high accuracy, and (c) realtimeperformance. While modern training techniques allow (b) and (c) successfully,(a) is usually complicated by the usage of floating-point arithmetic format and agenerally large scale of the models. One of the ways of overcoming the highlightedissues has been the usage of simplified DNN architectures [12]. One type of sucharchitecture is called BNNs [13-20]. BNNs utilize binarization, a 1-bit quantizationwhere the values of the weights and layers can only have a limited number of values (for example, -1 or +1). These architectures enable a sizable reduction in memoryconsumption while preserving accuracy. In addition, they allow for a replacement of heavy operations with lightweight bitwise ones, which makes them hardware friendly.

They can also be used to perform advanced speech recognition restricting worderror rate [22]. Similarly, they can be implemented as part of software for robotadaptedmicrocontrollers. For example, BNNs can be deployed in FPGAS, which requires architectures and hardware adjustments [23]. Besides, they can serve asaccelerators for parallelization of processes in embedded systems [24]. Similar to DNNs, BNNs are vulnerable to adversarial attacks [25, 26] in which slightly changing the inputs can completely fool a well-trained and highly accuratenetwork. Adversarial attacks on DNNs exploit their vulnerabilities to input thatunderwent slight perturbations. These perturbations reveal significant security reliability challenges in DNN-based applications 27] by creating adversarial examples. Adversarial examples cause DNNs to misclassify them without affecting human perception [28]. Multiple techniques have been proposed to generate adversarial examples, including white-box ones (the architecture and weights areavailable in advance) and black-box ones (the attacker has no knowledge of themodel' s internals). To defend against these attacks, the researchers have introduced techniques that include adversarial training to improve their robustness [29], and neural network verification approaches that aim to mathematically prove that for agiven input space, the network' s predictions remain stable [30].



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While being efficient and easy to deploy, BNNs are generally more challengingto train and verify because of a performance-accuracy trade-off [31]. Only a fewneural network verification methods have been proposed to deal with BNNs, and most of them require input quantization, which omits an infinite number possible input states. For instance, the BDD-based methods [32] performquantitative robustness analysis of BNNs based on constructing equivalent binarydecision diagrams from the networks with quantized input data. The EEVBNN tool[2] can perform neural network verification for BNNs with quantized input spaceby converting the networks into SAT problems. It is important to emphasize that input quantization is an extra man-made step to ease neural network verification.

In this thesis, we present a complementary approach for verifying BNNswithout input quantization using Star reachability [36,37], i.e., directly dealing withcontinuous input space and the original BNNs. We extend the Star set approachto perform Exact Reachability Analysis (ERA) and Overapproximate ReachabilityAnalysis (ORA) of Sign activation functions in BNNs. This is done by introducing new stepSign operation for both ERA and ORA algorithms. We perform the ERAby applying the Sign operation to each neuron individually, while the ORA usesan n-dimensional box as an approximation. neural network verification using ERAis sound and complete but computationally expensive. Meanwhile, neural network verification with ORA usually guarantees only the soundness of the results, but it ismuch less expensive in computation and offers better scalability. Interestingly, bothsoundness and completeness can be achievable using ORA in many cases, withour new method performing backward counterexamples localization and randomsampling. Extending from the original Star and ImageStar-based verification [36,38], our proposed approach can verify both Binary Feedforward Neural Networks(BFFNNs) and Binary Convolutional Neural Networks (BCNNs) and is fullyparallelizable to improve scalability.

We implement the proposed approach in NNV, a verification tool for DNNsand learning-enabled Cyber-Physical Systems [39]. We evaluate our approach incomparison with: the SMT-based [1] method implemented in Marabou [40] forBNNs with continuous input space, and the SAT-based method implemented inEEVBNN [2] with quantized input space. The experiments show that our approach is significantly faster than Marabou on their proposed benchmarks. For instance, the proposed ERA and ORA algorithms can be $3600 \times$ and $5700 \times$ faster thanMarabou on a small network with 220 neurons. Additionally, our approach is alsoless conservative and more efficient than Marabou when dealing with severe L ∞ norm attacks, i.e., attacks with large disturbance bound δ . For example, Marabou reaches a timeout of 5,000 seconds when verifying the small network with $\delta >$ lwhile our approach can prove the robustness of the network within 1 second.

Торіс	Focus Area	Key Contributions	References		
Binary Neural Networks (BNNs)	Definition and Properties	BNNs use binary weights and activations, reducing model size and computation costs while maintaining reasonable accuracy.	Hubara et al. (2016), "Binarized Neural Networks"		
Continuous Inputs in BNNs	Handling Continuous Data	Examines methods for processing continuous inputs in BNNs, addressing accuracy drops when input data is not binary.	Courbariaux et al. (2015), "BinaryConnect: Training Deep Neural Networks with Binary Weights during Propagation"		
Reachability Analysis	Definition and Importance	Reachability analysis helps verify the behavior of neural networks by exploring all possible outputs given a range of inputs.	Gehr et al. (2018), "AI2: Safety and Robustness Certification of Neural Networks with Abstract Interpretation"		
Star Methods	Definition of Star Methods	Star methods represent input regions in high- dimensional spaces, enabling efficient reachability analysis by capturing possible output ranges.	Tran et al. (2019), "Star- Based Reachability Analysis of Neural Networks"		
Reachability in	Challenges & Solutions	Analyzes the difficulty of	Xiang et al. (2018),		

II. LITERATURE SURVEY



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Continuous Inputs for BNNs	reachability in BNNs with continuous inputs, focusir	5 5
	on adaptation methods using star approaches.	Binarized Neural Networks"

III. PREVIOUS RELATED WORK DONE

1. Formal Verification and Reachability Analysis in Neural Networks

Reluplex: Katz et al. introduced the *Reluplex* algorithm in "Reluplex: An Efficient SMT Solver for Verifying Deep Neural Networks" (2017), which extended the Simplex method to support ReLU activations. This work paved the way for verifying safety and robustness properties of neural networks by formalizing constraints, though it was originally geared towards networks with continuous weights.

Layer-based Approximations: Works such as "The AI2 Network Verification Tool" by Gehr et al. (2018) introduced layer-wise abstraction methods for reachability, applying interval bound propagation (IBP) and zonotope techniques to approximate reachable sets efficiently.

2. Polyhedral and Star Methods in Neural Networks

Polyhedral Methods: In "Reachability Analysis for Neural Networks with ReLU Activations" by Xiang et al. (2017), polyhedral and star set representations were used to represent input uncertainty. This work influenced further adaptations for quantized and binary networks by exploring how linear constraints could propagate through ReLU layers, establishing a basis for continuous input reachability analysis.

Star Set Methodology: Tran et al. developed the *Star Set* method for reachability analysis in "Star-Based Reachability Analysis of Deep Neural Networks" (2019), which improved over earlier polyhedral approaches by handling larger input spaces and deeper networks. Although not initially for BNNs, this method has been foundational in reachability analysis for all types of networks.

3. Verification and Robustness Analysis in Quantized and Binarized Networks

Binary and Quantized Network Verification: Narodytska et al.'s paper "Verifying Properties of Binarized Deep Neural Networks" (2018) tackled verification challenges specifically for binarized neural networks. This research used SAT-based solvers to verify properties and robustness of binarized networks, addressing reachability and safety in binary settings.

Adversarial Robustness in BNNs: Another key work by Raghunathan et al., "Certifying Robustness to Adversarial Examples with Interval Bound Propagation" (2018), explored methods for certifying robustness in networks using quantized activations. Although designed for quantized rather than fully binarized weights and activations, it helped establish bounds for adversarial robustness that could be extended to BNNs.

4. Optimized Reachability Analysis for Efficiency

Layer-Wise Symbolic Propagation: Huang et al., in "Safety Verification of Deep Neural Networks" (2017), introduced symbolic propagation techniques that efficiently computed reachability by representing inputs as symbolic variables. This approach, while computationally efficient, was best suited for shallow networks with continuous activations, leaving an opportunity to refine for binarized structures.

Differentiable Approximations for Reachability: Techniques involving *differentiable reachability*, such as in Gowal et al.'s work on "On the Effectiveness of Interval Bound Propagation for Training Verifiably Robust Models" (2019), introduced efficient, scalable methods using differentiable approximations. This was particularly impactful in reducing computational cost and supporting scalability in real-time applications.

5. Reachability and Robustness in Binary Neural Networks



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Binary Neural Network Frameworks: Papers like "XNOR-Net: ImageNet Classification Using Binary Convolutional Neural Networks" by Rastegari et al. (2016) and "Binarized Neural Networks" by Courbariaux et al. (2016) laid groundwork for BNN development, emphasizing memory efficiency and faster inference at the cost of precision. Although not focused on reachability, these works highlighted specific challenges and constraints within BNNs that affect how reachable sets are computed.

Geometric Approximations for Binary Layers: Liu et al.'s "Provably Robust Deep Learning via Adversarially Trained Smoothed Classifiers" (2019) proposed ways to geometrically approximate reachable sets even in networks with quantized or binary layers. Their approach also helped define boundaries for binary reachability analysis.

IV. PURPOSE OF THE WORK

1) Identify potential vulnerabilities or strengths in BNNs to improve their reliability and robustness in real-world scenarios.

2) Utilize star methods as a systematic approach for reachability analysis, providing a framework for understanding input uncertainties.

V. THE PROPOSED WORK

When developing the proposed algorithms, our goal is to guarantee that theyare more efficient and precise than existing solutions. This allows us to showthat the research proposed in this thesis is truly meaningful. In addition, gains in efficiency and accuracy allow the proposed techniques to be moresuitable for larger networks, batches of data, and disturbance. This wouldshow the advantage of the proposed approaches over existing state-of-the-artbenchmarks. In sum, the main contributions of this thesis are: The extension of the Star reachability algorithms for verifying BNNs oncontinuous input space. The implementation of exact reachability analysis and overapproximatereachability analysis algorithms in NNV that are publicly available for furtherevaluation and comparison. • A thorough evaluation of the proposed approach in comparison with Marabouand EEVBNN on a set of three datasets (MNIST, FMNIST, CIFAR10), and tenbinary neural networks.

This thesis is organized as follows: Chapter 2 covers the related publishedresearch, Chapter 3 describes the foundation of Star-based reachability analysisand BNN neural network verification, Chapter 4 showcases the evaluation of the7proposed approach compared to the existing techniques.

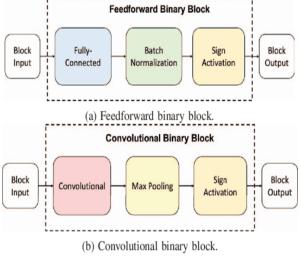


Fig. 1. Ripprv blocke

Figure 1: Binary Blocks

The Reachability Algorithm:

1: procedure reach(N, Θ , method)



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2: $\mathbf{R} \leftarrow \Theta$ 3: **for** <**i** = 1 to k>**do** 4: $Li \leftarrow N.Layers(i)$ 5: $R \leftarrow Li.reach(R,method)$ 6:Return R

Table I: The architectures of MLP networks

Network	Architecture	Accuracy	Туре
MLP0	$(50 \times 4) : (10 \times 2)$	90%	BFFN
XNOR0	3CB:1FB	75%	BCNN
MLP1	$(200 \times 2): (100 \times 2): (50 \times 2): (10 \times 2)$	96%	BFFNI
MLP2	$(200 \times 3) : (100 \times 2) : (50 \times 2) : (10 \times 2)$	96%	BFFNI
MLP3	(200×3) : (100×3) : (50×2) : (10×2)	96%	BFFNI
MLP4	(200×4) : (100×3) : (50×2) : (10×2)	96%	BFFNI

Table II: Verification results of MLP0 network.

	Marabou			Exact-Star				Approx-Star					
δ	Time(s)			#S	#Sol		Time(s)		ol	Time(s)		#Sol	
	UN	S	UN	S	UK	UN	S	UN	S	UN	S	UN	S
0.1	7.42	68	47	1	0	0.8	0.8	48	0	0.5	0.5	48	0
0.15	13.9	16	40	2	0	0.9	0.9	41	1	0.5	0.5	41	1
0.2	13.06	115	43	1	0	0.9	0.9	44	0	0.5	0.5	44	- 0
0.3	69.69	128	40	3	0	0.9	0.9	42	1	0.5	0.5	42	1
0.5	457.29	314	33	9	0	0.9	0.9	41	1	0.5	0.5	41	1
1	1809.09	2889	25	13	8	0.8	0.8	42	4	0.5	0.5	42	- 4
3	TO	2432	0	25	14	0.8	0.8	36	3	0.5	0.5	36	3
5	TO	702	0	43	0	0.8	0.8	25	18	0.5	0.5	25	18
10	TO	441	0	40	0	0.8	0.8	18	22	0.5	0.5	18	22
15	TO	528	0	49	0	0.8	0.8	20	29	0.5	0.5	20	- 29

VI. **IMPLEMENTATION**

In the exact analysis, a max-pooling or a Sign layermay produce multiple output sets from an input set. Therefore, we exploit the power of parallel computing to process multiple inputs simultaneously at a specificlayer to speed up the verification. In addition, we usually use estimated rangesto determine the Sign of individual inputs in the reachability of a Sign layer tominimize unnecessary optimization time in the analysis. For example, if we know the estimated lower bound of xi is $\tilde{l} \ge 0$, then we do not need to find its exactlower bound li for the analysis as it is always non-negative xi $\ge li \ge li \ge 0$. Finally, we note that if a BNN is a BFFNN, a more efficient implementation usingDepth-First Search (DFS) with exact reachability [37] can be used to verify thenetwork. Compared to the Breadth-First Search (BFS) implementation in this thesisto handle both BFFNNs and BCNNs, BFS is faster and more memory-efficient insearching a Counterexample when verifying the network. The algorithm will stopimmediately once a counterexample is found. Using the reachable set computed in the previous section, verifying the safety of BNNs defined in the following is straightforward.an unsafe specification U defined by a set of linear constraints on the network' s outputs $U \triangleq \{y \mid Cy \leq d\}$, the network is called to be safe corresponding to the input set \times , if and only if $R \cap U = \emptyset$, where R is the network' s reachable set, i.e., $R = N(\times)$. Otherwise, the neural network is unsafe. Similar to verification of ReLU networks [36], we can construct a complete set of counterexamples that makes a BNN unsafe if the exact reachability method is used. This is described in the following lemma.Let $R = [\Theta 1, \Theta 2, \dots, \Theta N]$ be the exact reachable set of a BNN N with a Star input set $\Theta = \langle c, V, P \rangle$, i.e., $R = N(\Theta)$, and $U \triangleq \{y \mid Cy \leq d\}$ be the unsafespecification of the network. If the network is unsafe, i.e., $R \cap U \neq \emptyset$, then a complete set of counterexample inputs C is computed as follows: $\forall k = 1, 2, ..., N$, $\Theta k \cap U = \Theta' k = \langle c' k, V' k, P' k \rangle$ $\rangle = \emptyset$ (Proposition 3.1.3)Ck = $\langle \Theta.c, \Theta.V, P' \rangle$, C \leftarrow Ck.In the exact reachability of a BNN, the input set and output set are definedbased on the same set of predicate variables unchanged in the computation. Whensplitting occurs, new constraints on the predicate variables are Therefore, a Star set in the network' s reachableset contains all constraints appearing in the input set, i.e., $\Theta k.Pk \subseteq \Theta.P$. When a Star set Θk in the network' s reachable set intersects with the unsafe region U, the intersection is an unsafe output set of the network, which is also a Star set $\Theta' = \langle c' | k, V' | k \rangle$ (Proposition 3.1.3). Importantly, we have $P' | k \subseteq Pk \subseteq P$. Therefore, any input vectors corresponding to any predicate vectors $\alpha = [\alpha 1, \ldots, \alpha m]T \in P'$ kcause the network to be unsafe. In other words, the Star $Ck = \langle \Theta, c, \Theta, V, P' \rangle$ is a set of counterexamples of the



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network. We can construct a complete set of counterexamples by checking the intersection of all Star sets in the reachable setwith the unsafe region U.

VII. EXPERIMENTAL RESULTS

Note that on the given examples, the Star-based exact verification approach runs out of time and memory. For this reason, we only present the comparison with the overapproximate analysis algorithm. The verification results of the EEVBNN method's proposed benchmarks are presented. Compared to EEVBNN, Star underperforms both with regard to the timing and the number of solved examples. **Timing Performance**. The experiments show that EEVBNN can be

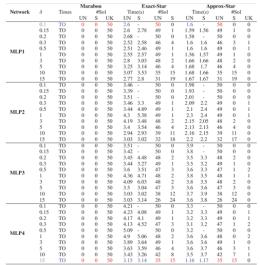


Table III: Verification results for MLP1-4. TABLE III: Verification results for MLP1-4 Notations are the same with that of Table II

from $4 \times to 30 \times$ faster than Star, depending on a model' s size and the used disturbance value. For example, EEVBNN verifies all 500 examples for **cifar10-small** $6 \times$ faster than Star. This happens because Star reachability is aimed at handling continuous input while EEVBNN works with the quantized one. Star's ability to operate incontinuous space introduces a trade-off as its computational operations are more complex. In addition, EEVBNN tests its approach on 'solver-friendly' networks that contain high-sparsity weights.

Conservativeness. According to the experiments, EEVBNN solves all the examples, while Star is only able to solve \approx 14% for the MNIST-trained models and \approx 88% for CIFAR10-trained models. This indicates that EEVBNN is less conservative compared to the Star-based reachability method. Although our approach is efficient and41 scalable for BFFNNs, it is not scalable for BCNNs with max-pooling layers. Asanalyzed in [38], when dealing with large disturbance bounds, more predicatevariables and their associated generators are introduced in the reachability of amax-pooling layer. This causes an explosion in memory and computation time. It is worth emphasizing that BCNNs using average pooling can achieve the same(or even better) accuracy and are amenable to our verification approach [63]. Wehave tried analyzing BCNNs with average pooling using our approach. However, we could not compare with Marabou on these networks as Marabou does notcurrently support average pooling. In addition, the given representation of Star cannot be efficiently used withquantized input space. For this reason, the method implemented in EEVBNNoutperforms Star in terms of timing and conservativeness. However, we emphasize that Star reachability algorithms have been designed to work with continuous input space. While it requires the operations to be more computationally expensive, it allows Star to generalize better as it deals with continuous (infinitebut bounded) input space instead of quantized input space with finite states like

EEVBNN. In addition, quantization introduces various sources of errors (rounding,computational noise, etc.). All of this may not have an effect when verifying "basic" benchmarks like MNIST or CIFAR10 but could have a huge impact in real-worldtasks. Note that EEVBNN also uses "solver-friendly BNNs". These BNNs' weightssparsity is artificially increased during the training process. Such an approach mayalso increase error accumulation. Thus, we believe that Star reachability is a goodcomplementary approach when input quantization is not an option.



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This section summarizes the results and how they attempt toanswer the research questions posed in this thesis:

1.Can we develop a Star-based reachability analysis technique that would allow for verifying binary neural networks?

It showsthat it is possible to verify BNNs using Star by putting together reachabilityalgorithms that can compute a reachable set of the Sign activation layer. This initiated the development of the exact and overapproximate reachabilityalgorithms for the Sign layer. The obtained Star-based technique for BNNverification was tested on several benchmarks and compared to the existingMarabou framework. We included the developed approach into NNV, aneural network verification tool for DNNs and learning-enabled Cyber-Physical Systems.

2. Can we guarantee the soundness and completeness of Star-based BNN verification?

Toanswer this question, we address the original definitions of the ERA and ORAalgorithms. Exact reachability guarantees soundness and completeness, while the overapproximate reachability algorithm is sound but will not be complete. To compensate for the incompleteness of the overapproximate algorithm, we construct counterexamples based on the original input and test them against network. Even though it does not guarantee completeness due to the randomness of the process, the experiments show that such an approachallows us to identify quite a few input examples that can be successfully used for adversarial attacks.

VIII. CONCLUSION

In this thesis, we have extended the star reachability algorithms for verifyingBNNs with continuous input space. The proposed exact and overapproximatereachability algorithms were compared to two existing frameworks – Marabouand EEVBNN - and evaluated using 9 different BNNs trained on three datasets:MLP0-4 and XNOR0 for Marabou trained on MNIST and FMNIST respectively, and mnist-small, mnist-large, cifar10-small, cifar10large for EEVBNN trained onMNIST and CIFAR10. For MLP0-4 we used the following disurbance values {0.1, 0.15, 0.2, 0.3, 0.5, 1, 3, 5, 10, 15}. For XNOR0 - {0.05, 0.1, 0.15, 0.2, 0.25, 0.3}. Formnist-small, mnist-large -{0.1, 0.3}, for cifar10-small, cifar10-large - {2/255, 8/255}. The experiments show that the proposed method is more efficient and scalablethan the SMT-based approach implemented in Marabou. On smaller BFFNNs, the exact and overapproximate verification algorithms can be $3600 \times$ and $5700 \times$ fasterthan Marabou. For XNOR0, we demonstrate that the Star-based approach is $44.6 \times and 3877.25 \times faster than Marabou.$ On larger BFFNNs and higher disturbancevalues, Marabou keeps running into the timeout without providing any solutions.Our approach also proves to be less conservative than Marabou. For example,on the MLP0 BFFNN network, for $\delta = 1$, Marabou proves (25 + 13)/46 \approx 82.6% of the cases while our approach (both exact and overapproximate) proves 100% of the cases. On the XNOR0 BCNN network, for $\delta = 0.3$, Marabou proves only(20 + 7)/50 = 54% while ours is (30 + 12)/50 = 84%. Importantly, on largenetworks, i.e., MLP1-4 (Table 4.3), Marabou cannot prove any cases, i.e., 0%, whileour exact method proves 100% cases for MLP1-4 and the overapproximate one lso proves \geq 96% cases for MLP1-4. We also run into results inconsistency whencomparing to Marabou. Marabou qualifies MLP0 as unsafe on some of the examples, while our approach shows that the network' s performance is not disrupted by applying the given disturbance to the given image. In addition, when runninginto a timeout on some of the examples, Marabout declares the network to beunsafe (SAT) instead of unknown (UNK). This issue grows as the disturbancevalues and network sizes increase. Our approach underperforms compared tothe quantization-based technique proposed in EEVBNN. We emphasize that Starrepresentation is not designed to handle quantized input space. However, westill included the comparison with EEVBNN to give a complete picture of ourapproach.

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